

**TOPOLOGY OF SPONTANEOUS SYMMETRY BREAKING  
EMERGENT HIGHER-FORM  
AND HIGHER-GROUP SYMMETRIES**

# CONSEQUENCES OF SSB

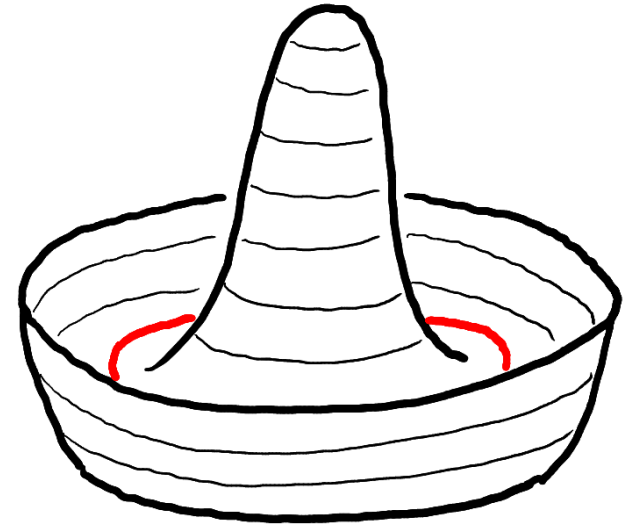
## Nambu-Goldstone bosons :

- Sound waves in solids & liquids
  - spin waves in (anti)ferromagnets
  - Bogoliubov mode in superfluids
  - pseudoscalar mesons ( $\pi, K, \eta$ ) in nuclear matter
  - photons in electromagnetism
- ... and many other examples!

# CONSEQUENCES OF SSB

Emergent symmetries :

associated with the topology  
of the vacuum manifold, lead to  
topological current / charge



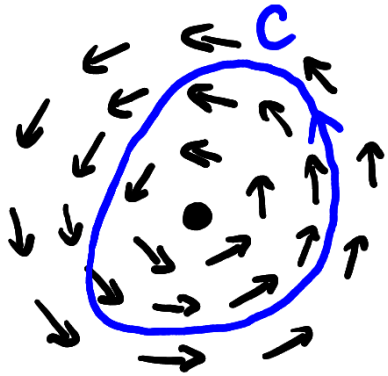
defects classified by

$$\pi_1(S^1) = \mathbb{Z}$$

# EMERGENT SYMMETRY: SUPERFLUIDS

Topological charge : superfluid winding number  $w_c[\phi]$

Topological current :  $J^{\mu_1 \dots \mu_{D-1}} \sim \epsilon^{\mu_1 \dots \mu_D} \partial_{\mu_D} \phi$  or  $J \sim *d\phi$



superfluid velocity  
 $\vec{v} \sim \nabla \phi$

$$w_c[\phi] = \frac{1}{2\pi} \oint_C d\phi \sim \oint_C *J$$

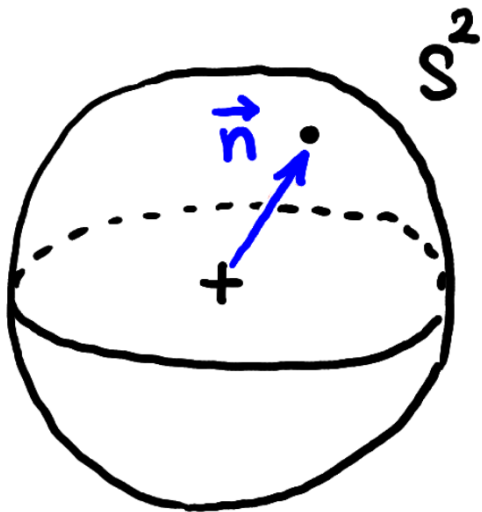
winding number can be nonzero  
in presence of superfluid vortices

# EMERGENT SYMMETRY : SPIN SYSTEMS

Topological charge : winding number  $w[\vec{n}]$  on  $S^2$

Topological current :  $J^{\mu_1 \dots \mu_{D-2}} \sim \epsilon^{\mu_1 \dots \mu_{D-2}} \vec{n} \cdot (\partial_{\mu_{D-1}} \vec{n} \times \partial_{\mu_D} \vec{n})$

or  $J \sim * \epsilon^{ijk} n_i dn_j \wedge dn_k$



winding number in  $2d$   
detects the presence of skyrmions

$$w[\vec{n}] = \frac{1}{4\pi} \int d^2\vec{r} \vec{n} \cdot (\partial_x \vec{n} \times \partial_y \vec{n}) \sim \int_{\mathbb{R}^2} *J$$

# SUPERFLUIDS: CLOSER LOOK

Low-energy effective theory:

fixed by equation of state

$$S[\phi, A] = \int d^3x \mathcal{P}(\sqrt{D_\mu \phi D^\mu \phi}) + \dots$$

$$D_\mu \phi = \partial_\mu \phi - A_\mu$$

gauge field of  
the U(1) symmetry

Greiter et al. (1989)

Son (2002)

# SUPERFLUIDS: CLOSER LOOK

Conserved currents



topological



Noether

$$J^\mu = \frac{D^\mu \phi}{\sqrt{D_\nu \phi D^\nu \phi}} P'(\sqrt{D_\lambda \phi D^\lambda \phi})$$

- depends on details of action
- conservation equivalent to equation of motion

$$K^{\mu_1 \dots \mu_{D-1}} \sim \epsilon^{\mu_1 \dots \mu_D} D_{\mu_D} \phi$$

or as a  $(D-1)$ -form

$$*K \sim D\phi = d\phi - A$$

- fixed by topology of  $U(1)$
- off-shell conservation law
 
$$d*K \sim -dA = -F$$
- emergent  $(D-2)$ -form symmetry

# SUPERFLUIDS : CLOSER LOOK

Gauging of the dual symmetry :

$$S[\phi, A, B] = \int d^D x \mathcal{P}(\sqrt{D_\mu \phi D^\mu \phi}) + \frac{\kappa}{(D-1)!} \int d^D x \underbrace{\epsilon^{\mu_1 \dots \mu_D} B_{\mu_1 \dots \mu_{D-1}} (\partial_{\mu_D} \phi - A_{\mu_D})}_{\sim B_{\mu_1 \dots \mu_{D-1}} K^{\mu_1 \dots \mu_{D-1}}}$$

Background gauge invariance :

$$\phi \rightarrow \phi + \alpha$$

$$A_\mu \rightarrow A_\mu + \partial_\mu \alpha$$

$$B_{\mu_1 \dots \mu_{D-1}} \rightarrow B_{\mu_1 \dots \mu_{D-1}} + (\partial_{\mu_1} \beta_{\mu_2 \dots \mu_{D-1}} + \text{cyclic})$$

$$\delta S = \int d^D x \frac{(-1)^D}{2} \frac{\kappa}{(D-2)!} \epsilon^{\mu_1 \dots \mu_D} F_{\mu_1 \mu_2} \beta_{\mu_3 \dots \mu_D}$$

mixed 't Hooft anomaly



# SUPERFLUIDS: CLOSER LOOK

Gauging of the dual symmetry:

$$S[\phi, A, B] = \int P(\sqrt{|g|} \mathcal{D}\phi) * 1 + \kappa \int B \wedge (d\phi - A)$$

normalization

$(D-1)$ -form source for  $K$

Background gauge invariance:

$$\left. \begin{aligned} \phi &\rightarrow \phi + \alpha \\ A &\rightarrow A + d\alpha \\ B &\rightarrow B + d\beta \end{aligned} \right\} \longrightarrow$$

$$\delta S = \int (-1)^D \kappa \beta \wedge dA$$

mixed 't Hooft anomaly

# HIGHER-FORM SYMMETRY

Continuous  $p$ -form symmetry : conserved rank- $(p+1)$   
antisymmetric tensor current

$$\partial_\nu J^{\nu\mu_1\dots\mu_p} = 0 \iff d^\dagger J^{(p+1)} = 0$$

dual  $(D-p-1)$ -form  
current is closed

$$\begin{array}{c} \updownarrow \\ d * J^{(p+1)} = 0 \end{array}$$

Zharinov (1992)

Bryant, Griffiths (1995)

Barnich et al. (1995)

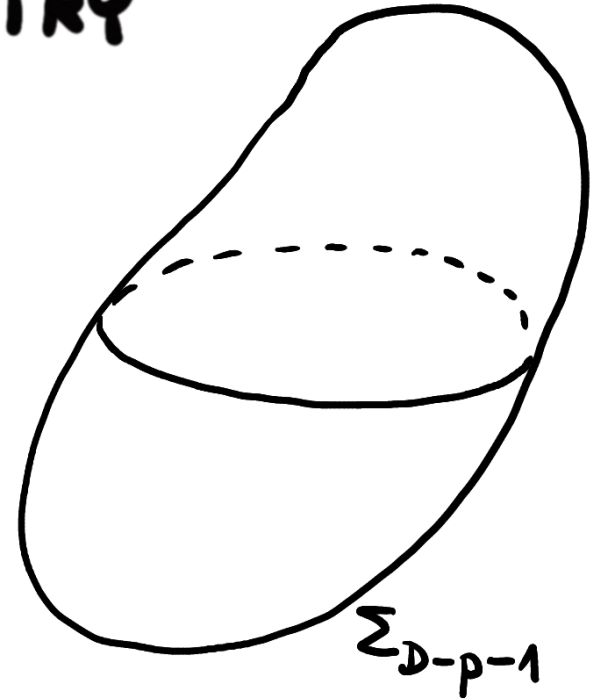
Anderson, Torre (1996)

# HIGHER-FORM SYMMETRY

**Integral charge** : measured on  
codimension- $(p+1)$  manifolds

$$Q(\Sigma_{D-p-1}) = \int_{\Sigma_{D-p-1}} * j^{(p+1)}$$

$$= \int_{\Sigma_{D-p-1}} \frac{1}{(p+1)!(D-p-1)!} \epsilon_{\mu_1 \dots \mu_D} j^{\mu_1 \dots \mu_{p+1}} d\Sigma^{\mu_{p+2} \dots \mu_D}$$



The charge is invariant under smooth deformations of  $\Sigma_{D-p-1}$  that do not cross a source of the current.

# EXAMPLE : U(1) SUPERFLUIDS

0-form U(1) symmetry

$$d^\dagger J^{(1)} = 0 \iff \partial_\mu J^\mu = 0$$

$\uparrow$   
degree

Noether symmetry

(D-2)-form dual symmetry

$$d^\dagger K^{[2]} = 0 \iff \partial_\nu K^{\nu\mu_2 \dots \mu_{D-1}} = 0$$

$\uparrow$   
codegree

Where  $K^{\mu_1 \dots \mu_{D-1}} \sim \epsilon^{\mu_1 \dots \mu_D} \partial_{\mu_D} \phi$

emergent (topological) symmetry

# EXAMPLE : MAXWELL'S THEORY

1-form electric symmetry

$$d^\dagger F^{(2)} = 0 \leftrightarrow \partial_\mu F^{\mu\nu} = 0$$

Noether symmetry

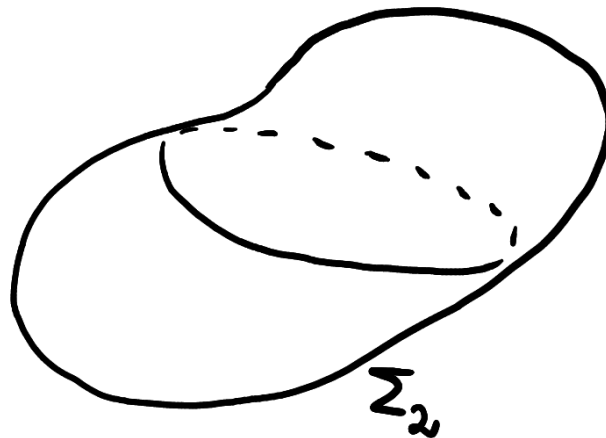
$(D-3)$ -form magnetic symmetry

$$d^\dagger *F^{(2)} = 0 \leftrightarrow \epsilon^{\lambda\mu\nu} \partial_\lambda F_{\mu\nu} = 0$$

emergent (topological) symmetry

In  $D=4$ , both are 1-form symmetries!

$\int_{\Sigma_2} *F$  measures  
electric flux through  $\Sigma_2$



$\int_{\Sigma_2} F$  measures  
magnetic flux through  $\Sigma_2$

# SSB OF HIGHER-FORM SYMMETRY

Goldstone theorem

SSB of  $p$ -form symmetry



$p$ -form NG mode

$$J^{(p+1)} \sim d\Phi^{(p)}$$

Coleman-Hohenberg-  
Mermin-Wagner theorem

SSB not possible if

$$p \geq D-2$$

(relies on Lorentz invariance)

Gaiotto et al. (2015)

Lake (2018)

Hofman, Iqbal (2019)

# COMPOSITE CURRENTS

$p$ -form symmetry  
 $d * J^{(p+1)} = 0$

$q$ -form symmetry  
 $d * K^{(q+1)} = 0$



$* J^{(p+1)} \wedge * K^{(q+1)}$  is closed



$* [ * J^{(p+1)} \wedge * K^{(q+1)} ]$  is the current of  
a new  $(p+q-D+1)$ -form symmetry

requires  $p+q \geq D-1$

TB (2021)

# COMPOSITE CURRENTS

Simplest example:  $p = q = 1$ ,  $D = 3$

$$\left. \begin{array}{l} J^{\mu\nu} \\ K^{\mu\nu} \end{array} \right\} \longrightarrow \begin{array}{l} \text{composite current} \\ L^\mu \sim \epsilon^{\mu\nu\lambda} J_\nu K_\lambda \end{array}$$

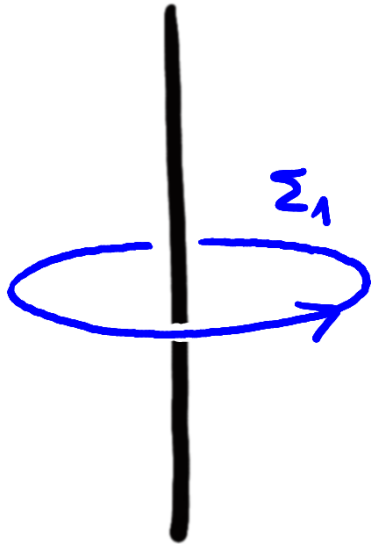
Two-component superfluid mixture:

$$\left. \begin{array}{l} J^{\mu\nu} \sim \epsilon^{\mu\nu\lambda} \partial_\lambda \phi \\ K^{\mu\nu} \sim \epsilon^{\mu\nu\lambda} \partial_\lambda \theta \end{array} \right\} \longrightarrow K^\mu \sim \epsilon^{\mu\nu\lambda} \partial_\nu \phi \partial_\lambda \theta$$



# COMPOSITE CURRENTS

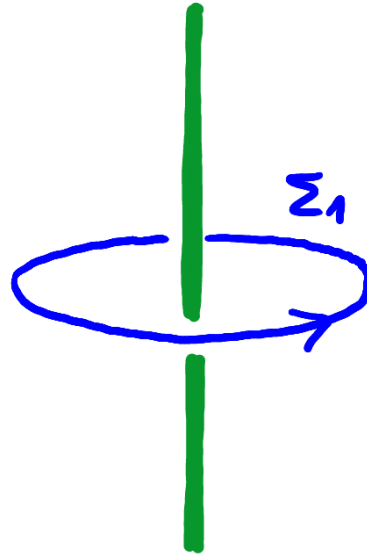
$\phi$ -vortex



$$Q(\Sigma_1) \sim \int_{\Sigma_1} d\phi$$

winding number of  $\phi$

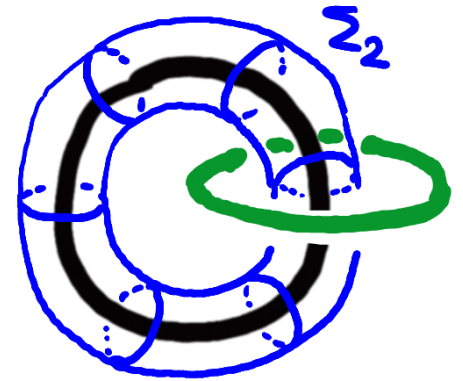
$\theta$ -vortex



$$Q(\Sigma_1) \sim \int_{\Sigma_1} d\theta$$

winding number of  $\theta$

linked vortex rings



$$Q(\Sigma_2) \sim \int_{\Sigma_2} d\phi \wedge d\theta$$

linking of vortices

# SUPERFLUID MIXTURES

0-form  $U(1)_i$  symmetries

NG fields  $\phi_i$

background gauge fields  $A_i^{(1)}$

dual (D-2)-form symmetries

dual currents  $\sim *d\phi_i$

background gauge fields  $B_i^{[1]}$

higher-order  
composite currents

$*(d\phi_i \wedge d\phi_j \wedge d\phi_k \wedge \dots)$

etc. etc.

Composite (D-3)-form symmetries

composite currents  $\sim *(d\phi_i \wedge d\phi_j)$

background gauge fields  $C_{ij}^{[2]}$

# SUPERFLUID MIXTURES

Low-energy EFT :

$$S[\Phi, A, B, C] = S_{\text{inv}} + \int \left[ \kappa_1 B_i^{[1]} \wedge (d\Phi_i - A_i^{(1)}) + \frac{\kappa_2}{2} C_{ij}^{[2]} \wedge (d\Phi_i - A_i^{(1)}) \wedge (d\Phi_j - A_j^{(1)}) \right]$$

↑  
built out of  
 $d\Phi_i - A_i^{(1)}$

↑  
gauged currents to preserve  
 $U(1)_i$  Noether symmetries

Background gauge invariance :

$$\left. \begin{aligned} \Phi_i &\rightarrow \Phi_i + \alpha_i \\ A_i^{(1)} &\rightarrow A_i^{(1)} + d\alpha_i^{(1)} \end{aligned} \right\} \begin{array}{l} \text{required for} \\ \text{invariance of } S_{\text{inv}} \end{array}$$

$$\left. \begin{aligned} B_i^{[1]} &\rightarrow B_i^{[1]} + d\beta_i^{[2]} \\ C_{ij}^{[2]} &\rightarrow C_{ij}^{[2]} + d\delta_{ij}^{[3]} \end{aligned} \right\} \begin{array}{l} \text{this cannot} \\ \text{be right!} \end{array}$$

# SUPERFLUID MIXTURES

Low-energy EFT :

TB (2021)

$$S[\Phi, A, B, C] = S_{\text{inv}} + \int \left[ \kappa_1 B_i^{[1]} \wedge (d\Phi_i - A_i^{(1)}) + \frac{\kappa_2}{2} C_{ij}^{[2]} \wedge (d\Phi_i - A_i^{(1)}) \wedge (d\Phi_j - A_j^{(1)}) \right]$$

Background gauge invariance :

$$\Phi_i \rightarrow \Phi_i + \alpha_i$$

$$A_i^{(1)} \rightarrow A_i^{(1)} + d\alpha_i$$

$$B_i^{[1]} \rightarrow B_i^{[1]} + dB_i^{[2]} - (-1)^D \frac{\kappa_2}{\kappa_1} \delta_{ij}^{[3]} \wedge dA_j^{(1)}$$

$$C_{ij}^{[2]} \rightarrow C_{ij}^{[2]} + d\delta_{ij}^{[3]}$$

Variation of the action :

$$\delta S = \int (-1)^D \kappa_1 \beta_i^{[2]} \wedge dA_i^{(1)}$$

separate mixed 't Hooft anomaly for each flavor

# SUPERFLUID MIXTURES

Background gauge invariance :

$$\begin{aligned}\phi_i &\rightarrow \phi_i + \alpha_i \\ A_i^{(1)} &\rightarrow A_i^{(1)} + d\alpha_i \\ B_i^{[1]} &\rightarrow B_i^{[1]} + d\beta_i^{[2]} - (-1)^D \frac{\kappa_2}{\kappa_1} \delta_{ij}^{[3]} \wedge dA_j^{(1)} \\ C_{ij}^{[2]} &\rightarrow C_{ij}^{[2]} + d\delta_{ij}^{[3]}\end{aligned}$$

Gauge-invariant field strength tensors :

$$\begin{aligned}F_i^{(2)} &= dA_i^{(1)} \\ G_i^{[0]} &= dB_i^{[1]} + (-1)^D \frac{\kappa_2}{\kappa_1} C_{ij}^{[2]} \wedge dA_j^{(1)} \\ H_{ij}^{[1]} &= dC_{ij}^{[2]}\end{aligned}$$

# SUPERFLUID MIXTURES

Ward identities for currents :

$$*J_{Ai}^{(1)} = \frac{\delta S}{\delta A_i^{(1)}} \quad *J_{Bi}^{[1]} = \frac{\delta S}{\delta B_i^{[1]}} \quad *J_{cij}^{[2]} = \frac{\delta S}{\delta C_{ij}^{[2]}}$$

$$\begin{aligned}
 d * J_{Ai}^{(1)} &= 0 & \longrightarrow & J_{Ai}^{(1)} \text{ is conserved but not gauge-invariant} \\
 d * J_{Bi}^{[1]} &= -\kappa_1 dA_i^{(1)} \\
 d * J_{cij}^{[2]} &= -\frac{\kappa_2}{\kappa_1} \left( dA_i^{(1)} \wedge *J_{Bj}^{[1]} - dA_j^{(1)} \wedge *J_{Bi}^{[1]} \right)
 \end{aligned}
 \left. \vphantom{\begin{aligned} d * J_{Ai}^{(1)} \\ d * J_{Bi}^{[1]} \\ d * J_{cij}^{[2]} \end{aligned}} \right\} \begin{array}{l} J_{Bi}^{[1]}, J_{cij}^{[2]} \text{ are} \\ \text{gauge-invariant but} \\ \text{not conserved} \end{array}$$

Conservation & gauge invariance of currents can be affected by adding local counterterms to the action.

# EMERGENT TOPOLOGICAL SYMMETRIES

Nontrivial closed  $G$ -invariant  $p$ -forms on  $G/H$  : de Rham cohomology  $H^p(G/H)$

D'Hoker, Weinberg (1994)

D'Hoker (1995)

$\Omega^{(p)}$	$p=1$	$p=2$	$p=3$	$p=4$	$p=5$
$D=1$	$\theta$ -term	WZ-term	—	—	—
$D=2$	1-form current	$\theta$ -term	WZ-term	—	—
$D=3$	2-form current	1-form current	$\theta$ -term	WZ-term	—
$D=4$	3-form current	2-form current	1-form current	$\theta$ -term	WZ-term

# EMERGENT TOPOLOGICAL SYMMETRIES

$\Omega^{(p)}$	$p=1$	$p=2$	$p=3$	$p=4$	$p=5$
$D=1$	$\theta$ -term	WZ-term	—	—	—
$D=2$	1-form current	$\theta$ -term	WZ-term	—	—
$D=3$	2-form current	1-form current	$\theta$ -term	WZ-term	—
$D=4$	3-form current	2-form current	1-form current	$\theta$ -term	WZ-term

$(D-2)$ -form dual symmetry  
in  $U(1)$  superfluids

skyrmion current  
in (anti)-ferromagnets

skyrmion current  
in QCD



# EMERGENT TOPOLOGICAL SYMMETRIES

Decomposable cohomology generators : higher-degree generators as products of lower-degree ones

$$\Omega^{(p)} \sim \Omega^{(p_1)} \wedge \Omega^{(p_2)} \wedge \Omega^{(p_3)} \wedge \dots, \quad p = p_1 + p_2 + p_3 + \dots$$

$\Omega^{(p)}$	$p=1$	$p=2$	$p=3$
primitive	$\Omega^{(1)}$	$\Omega^{(2)}$	$\Omega^{(3)}$
decomposable	—	$\Omega^{(1)} \wedge \Omega^{(1)}$	$\Omega^{(1)} \wedge \Omega^{(2)}$ $\Omega^{(1)} \wedge \Omega^{(1)} \wedge \Omega^{(1)}$

# GENERALIZATION

- Non-Abelian primitive symmetries :
- topological currents  $*\Omega_a^{(p_a)}$
  - composite currents  $*(\Omega_a^{(p_a)} \wedge \Omega_b^{(p_b)})$
  - background fields  $B_a^{[p_a]}$ ,  $C_{ab}^{[p_a+p_b]}$

What exactly are  $\Omega_a^{(p_a)}$ ?

- generators of de Rham cohomology of  $G/H$
  - generally nonlinear functions of NG modes of  $G/H$
  - fully gauged under  $G$
  - may not be closed : 
$$\begin{cases} d\Omega^{(1)} \sim F^{(2)} \\ d\Omega^{(2)} = 0 \\ d\Omega^{(3)} \sim F^{(2)} \wedge F^{(2)} \end{cases}$$
- lead to mixed 't Hooft anomaly

# GENERALIZATION

Non-Abelian primitive symmetries :

$$S = S_{\text{inv}} + \int \left( \kappa_1 \sum_a B_a^{[p_a]} \wedge \Omega_a^{(p_a)} + \frac{\kappa_2}{2} \sum_{a,b} C_{ab}^{[p_a+p_b]} \wedge \Omega_a^{(p_a)} \wedge \Omega_b^{(p_b)} \right)$$

Background gauge invariance :

$$A^{(n)} \rightarrow g A^{(n)} g^{-1} + g dg^{-1}, \quad g \in G$$

$$B_a^{[p_a]} \rightarrow B_a^{[p_a]} + dB_a^{[p_a+1]} + \frac{\kappa_2}{\kappa_1} \sum_b (-1)^{D+(p_a+1)(p_b+1)} \chi_{ab}^{[p_a+p_b+1]} \wedge d\Omega_b^{(p_b)}$$

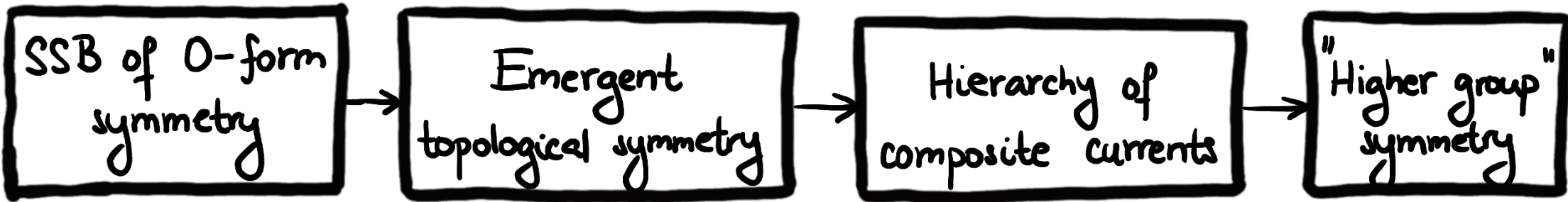
$$C_{ab}^{[p_a+p_b]} \rightarrow C_{ab}^{[p_a+p_b]} + d\chi_{ab}^{[p_a+p_b+1]}$$

$$\delta S = \int \sum_a (-1)^{D+p_a} \kappa_1 \beta_a^{[p_a+1]} \wedge d\Omega_a^{(p_a)}$$

No modification of gauge transformation  
without mixed 't Hooft anomalies!

# SUMMARY & OPEN QUESTIONS

Summary :



Open questions :

- precise mathematical structure?
- specific physical predictions?